

Receipt
PATENT

#6

UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF
Inventor(s): SOMERVILLE et al.
Appln. No.: 09 | 885,189

ATTENTION: Office of Initial Patent Examination
Customer Service Center

Series Code ↑ | Serial No. ↑
Filed: June 21, 2001

Title: PRODUCTION OF HYDROXYLATED FATTY ACIDS IN GENETICALLY MODIFIED PLANTS

Date: January 14, 2002

Asst. Commissioner of Patents
Washington, D.C. 202031

RECEIVED
JAN 24 2002
TECH CENTER 1600/2900

Sir: REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data which is

<input checked="" type="checkbox"/> incorrectly entered	and/or	<input type="checkbox"/> omitted
Error in		Correct data

1. <input type="checkbox"/> Applicant's Name	1.
2. <input type="checkbox"/> Applicant's Address	2.
3. <input type="checkbox"/> Title	3.
4. <input type="checkbox"/> Filing Date	4.
5. <input type="checkbox"/> Serial Number	5.
6. <input type="checkbox"/> Foreign/PCT Application Re	6.
7. <input checked="" type="checkbox"/> Other	7. CIP of 08/320,982 filed October 11, 1994, now US Patent 5,801,026
3. It is understood that the PTO no longer charges for correcting filing receipts even when the error is applicants' mistake, but if that is incorrect and a fee is due for this correction please charge our Deposit Account No. 03-3975 under Order No. 20263/275564

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard
McLean, VA 22102
Tel: (703) 905-2000

By Atty: Bonnie W. McLeod

Reg. No. 43,255

Sig: Bonnie W. McLeod

Fax: (703) 905-2500
Tel: (703) 905-2219

Atty/Sec: BWM/ml

(Attach Filing Receipt copy and PTO receipt PAT-103A)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/885,189	06/21/2001	1638	1650	P 275564	17	25	8

CONFIRMATION NO. 7809

FILING RECEIPT



OC00000006318124

Pillsbury Winthrop LLP
Intellectual Property Group
East Tower, Ninth Floor
1100 New York Avenue, N.W.
Washington, DC 20005-3918

Date Mailed: 07/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Chris Somerville, Portola Valley, CA;
Pierre Broun, Burlingame, CA;
Frank van de Loo, Weston, AUSTRALIA;
Sekhar S. Boddupalli, Manchester, MO;

D mestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/597,313 02/06/1996
WHICH IS A CIP OF 08/530,862 09/20/1995
WHICH IS A CIP OF 08/320,882 10/05/1994 PAT 5,718,767 *
WHICH IS A CIP OF 08/314,596 09/26/1994 PAT 5,668,292
(*) Data inconsistent with PTO records.

F reign Applications

PCT/US95/11855 09/25/1995

If Required, Foreign Filing License Granted 07/17/2001

Pr jected Publication Date: To Be Determined - pending completion of Sequence Disclosures

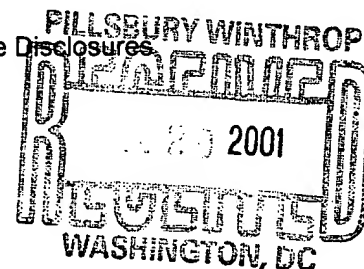
Non-Publication Request: No

Early Publicati n Request: No

RECEIVED

JAN 24 2002

TECH CENTER 1600/2900



Title

Production of hydroxylated fatty acids in genetically modified plants

Preliminary Class

536

Data entry by : BEGUM, SHAMIMA

Team : OIPE

Date: 07/19/2001



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, DC 20231

4. ☐ Priority is claimed under 35 U.S.C. 119/365 based on filing in _____ of _____ (country)

	Application No.	Filing Date	Application No.	Filing Date
(1)	_____	_____	(2)	_____
(3)	_____	_____	(4)	_____
(5)	_____	_____	(6)	_____

a. ☐ (No.) Certified copy/copies attached.

b. ☐ Certified copy/copies previously filed on _____ in _____

U.S. Application No. _____ / _____, filed on _____

series code \uparrow serial no. \uparrow

c. ☐ Certified copy/copies filed during International stage of PCT/ _____ / _____

4(a). (a) ☒ Domestic priority is claimed from PCT/ US95/11855, filed 25 September 1995.

(b) ☐ Benefit is claimed of Provisional Application No. 60/____, filed ____.

5. ☐ Assignee (optional) _____

6. ☒ Attached is the following number of Assignments (including original and all later successive ones by different assignors): 3 and respective new Cover Sheets. (Do **NOT** file old cover sheets.)

(Assignments in parent **must be refiled** with new Cover Sheets in this continuing application if you want it/them recorded against the continuing application.)

Please return the recorded Assignment to the undersigned.

7. ☒ The power of attorney in the prior application is to Paul N. Kokulis, Reg. No. 16773

(Name and Reg. No.)

whose current address is as in item 8 below.

a. ☐ Recognize as associate attorney _____

(Name, Reg. No. and Address)

8. **Address all future communications to Intellectual Property Group of Pillsbury Winthrop LLP, Ninth Floor, East Tower 1100 New York Avenue, N.W., Washington, D.C. 20005-3918**

9. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. 08/ 530,862 filed 20 September 1995

No. 08/ 320,982 filed 11 October 1994

No. 08/ 314,596 filed 26 September 1994

No. PCT/ US95/ 11855 filed 25 September 1995

designated the U.S. and that International Application ☒ was ☐ was not published under PCT Article 21(2) in English

9(a). ☒ See the attached Preliminary Amendment, which amends the first page of the specification to claim benefit of the above listed US application(s)

10. **Small Entity Status** \rightarrow ☒ is Not claimed ☐ is claimed (**pre-filing confirmation required**)

(No.) Small Entity Statement(s) (not essential since 9/8/00) were/are:

☐ filed in above prior application

☐ attached.

11. Petition to extend the life of the above prior application to at least the date hereof

(one box) ☐ is being concurrently filed in that prior application (Use Form PAT-111).

(must be) ☐ was previously filed in that prior application (Check length of prior extension).

(X'd) ☒ is not necessary for copendency (Double check before X'ing this box).